

**APPARATUS OF ELECTRO-STIMULATION AND RELATIVE DATA SUPPORT**

The invention relates to an apparatus and a method of electro-stimulation and a data support that can be read by processing  
5 means. On the data support data are recorded that are required for the operation of the apparatus and the actuation of the method.

The apparatus and the method of electro-stimulation according to the invention are particularly suitable for carrying out  
10 bioactive neuro-stimulation and for modulation of cytokines, growth factors and of enzymatic cellular metabolism.

Clinical data show that more than half of the population of western countries suffers from vascular pathologies, and in particular pathologies affecting the cardiovascular system.  
15 Alterations of the vascular walls frequently occur that are caused by degenerative pathologies such as arteriosclerosis which, together with thrombosis, is one of the most frequent causes of obstruction of the peripheral arteries and of those that affect the myocardium and the brain.

Arteriosclerosis manifests itself in a particularly aggressive and premature manner in diabetic patients, who make up about 3% of the European population and a similar percentage of the population in Italy. This pathology is accompanied by long-term complications that gravely disable the patient that are  
25 due to the degeneration of the larger blood vessels (macro-angiopathy), of the smaller blood vessels (micro-angiopathy) and of the peripheral and vegetative nervous system (neuropathy). Peripheral macro-angiopathy in diabetic patients produces analogous symptoms to those observed in non-diabetic  
30 patients; however, this manifests itself prematurely, with greater frequency and deteriorates rather rapidly.

For the above explained reasons, the vascular pathologies causes in diabetic patients a mortality rate twice the mortality rate in non-diabetic patients, and make long

hospitalisations necessary, with remarkable economic and social consequences.

Furthermore, in diabetic patients arteriosclerosis is responsible for a majority of the amputations of the lower limbs (50-70%), which such patients undergo 5 times more frequently than non-diabetic patients. The occlusion of small and medium-calibre distal arteries below the knee causes gangrene to develop. Furthermore, diabetic patients suffer more frequently than non-diabetic patients from claudicatio  
5  
10 intermittens due to ischemia of the muscles in the calves, the thigh or the gluteus.

Substances have recently been discovered and described in the literature that are produced by endothelium cells and cause new blood vessels to be formed (angiogenesis) and  
15 vasodilatation, such as, for example, the Fibroblast Growth Factor (FGF), Neuronal Growth Factor (NGF), Epithelial Growth Factor (EGF), Vascular Endothelial Growth Factor (VEGF) and Angiopoietin-2.

To promote angiogenesis, VEGF and other angiogenic factors, such as FGF, can be injected directly into the vascular bed  
20 affected by ischemia and/or occlusion.

But the direct injection of VEGF or other angiogenic factors has many drawbacks, which are mainly due to the difficulty of release to all the cells affected. In fact, less than 2% of  
25 the VEGF injected is effectively involved in neo-angiogenesis; furthermore, the method is potentially toxic.

Experiments conducted by Kanno et. al. have shown that when continuous electrical stimulation was applied for 5 days to isolated animal muscles by means of pulses having a width of  
30 0.3 ms, a frequency of 50 Hz and an intensity of 0.1 V, an increase in the production of VEGF was observed and neo-angiogenesis was promoted through an increase in the number of capillaries and of the blood flow.

Although said experiments seem to suggest that electric stimulation of the muscles has beneficial effects on the circulation they do not teach how to apply electric stimulation to humans.

5 In addition, they require treatment lasting several days, which could cause the patient discomfort because of its excessive length.

Furthermore, it is known to use laser transmyocardial  
10 rivascularisation to reduce the pain caused by angina; this determines an increase in the level of VEGF in the myocardium and in the endothelium cells of capillaries and arterioles (Lee, SH, Wolf PL, Escudero R, N Eng. J. Med. 2000; 342, 626-33). However, laser transmyocardial rivascularisation is an  
invasive technique that achieves limited results.

15 US 2002/0010492 describes an electro-stimulation device for the controlled production of angiogenic growth factors, through which device the level of VEGF can be increased in vitro by 30-40% through continuous electro-stimulation lasting at least 8 hours.

20 However, even in this case, long periods of treatment are required that cause significant discomfort to the patient.

WO 02/09809 discloses an apparatus for treating vascular, muscular or tendinous pathologies by means of which a series of pulses having a width from 10 to 40  $\mu$ s and an intensity  
25 from 100 to 170  $\mu$ A is applied to the patient. In this way, an increase in the production of VEGF can be obtained, with consequent vasodilatation and neo-angiogenesis.

An object of the invention is to improve the condition of patients affected by vascular pathologies, and more in  
30 particular of diabetic patients suffering from said pathologies.

A further object of the invention is to stimulate the production of large quantities of substances that promote the formation of new blood vessels and the dilatation of existing

ones, in particular VEGF, with relatively short treatment time, i.e. without subjecting the patient to exhausting treatment lasting several hours.

In particular, it is desired to induce production of VEGF or  
5 of other growth factors in quantities that are substantially greater than those obtained by means of the apparatus described in WO 02/09809.

In a first aspect of the invention, there is provided an electro-stimulation apparatus, comprising electric-pulse  
10 generating means arranged to generate pulses having preset values of typical parameters, applying means arranged to apply a sequence of said pulses to an organism, said sequence comprising an initial pulse and a final pulse, characterised in that, it further comprises variation means arranged to  
15 perform a substantial variation of at least one typical parameter at a moment comprised between said initial pulse and said final pulse.

In a second aspect of the invention, there is provided a method of electro-stimulating an organism, comprising  
20 generating a sequence of electric pulses having preset values of typical parameters, said sequence comprising an initial pulse and a final pulse, and applying said sequence to said organism, characterised in that, said generating comprises considerably varying at least one typical parameter at a  
25 moment comprised between said initial pulse and said final pulse.

In a third aspect of the invention, there is provided a support readable by data processing means, containing a plurality of data with preset values of typical parameters,  
30 said data being intended to originate a sequence of electric pulses to be applied to an organism by means of electro-stimulation techniques, said sequence comprising an initial pulse and a final pulse, characterised in that, a substantial variation of at least one typical parameter is provided in

said sequence at a moment comprised between said initial pulse and said final pulse.

In one embodiment, the parameter that is considerably varied is the frequency of the pulses.

- 5 In a further embodiment, the parameter that is considerably varied undergoes a decrease in its value.

This decrease can be of an order of magnitude.

- As will be described in detail below, experimental data have shown that owing to the invention and particularly owing to  
10 the substantial variation occurring in one of the typical parameters in the sequence of electric pulses, it is possible to obtain a relaxing effect on the muscle fibres, an activating effect on the vessels and on the neuroreceptors and a release of growth factors. It is furthermore possible to  
15 obtain an anti-inflammatory effect and to inhibit the cytokines that cause the inflammation. Finally, the invention enables stimulation of the small neurological afferent fibres and better interaction with the motor system to be obtained.

- As the good effects that have been noted are linked to the  
20 substantial variation of a typical parameter that occurs in an almost instantaneous manner, it is no longer necessary to subject the patient to treatment lasting several hours, because a session of only a few minutes enables said improvements to be observed.

- 25 Furthermore, the electric pulses can be applied transcutaneously, i.e. by using a technique that is not invasive and does not cause to the patient particular discomfort.

- In order that the invention may be clearly and completely  
30 disclosed, reference will now be made, by way of examples that do not limit the scope of the invention, to the accompanying drawings, in which:

Figure 1 is a table disclosing the sub-phases of a stimulation sequence with relaxing effect;

Figure 2 is a table disclosing the sub-phases of a stimulation sequence with anti-inflammatory effect;

Figure 3 is a table disclosing the sub-phases of a stimulation sequence for activating the microcirculatory system;

5 Figure 4 shows the variation of the operational parameters during the sequence for activating the microcirculatory system shown in Figure 3;

Figure 5 is a table disclosing the levels of VEGF found in patients subjected to electro-stimulation treatment according  
10 to the invention;

Figure 6 shows the values of VEGF detected during experimental stimulation of a distal zone of the leg;

Figure 7 shows a detail of Figure 6;

Figure 8 shows the sub-phases of the first part of a neuromuscular stimulation sequence of the hypotonic muscle;  
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Figure 9 shows the sub-phases of the second part of a sequence, the first part of which is shown in Figure 8.

An apparatus for electro-stimulation comprises one or more generators of electric pulses that can be controlled by a  
20 control device provided with a microprocessor. The control device can modulate the frequency and/or the width and/or the intensity of the electric pulses according to preset sequences. The electric pulses can be sub-threshold, i.e. maintained below values that could cause contraction of the  
25 muscle or a sensation of pain in the patient.

The apparatus further comprises applying means for applying the electric pulses to an organism, for example a human or a laboratory animal. The applying means may comprise electrodes provided with a highly conductive surface that are positioned  
30 directly on the skin of the patient to transcutaneously transmit the pulses.

The parameters that distinguish the pulses are defined on the basis of the rheobasis and/or of the chronaxy of the stimulated neuro-muscular tissue, or in general on the basis

of the bioreaction. Rheobasis is intended as the minimum current intensity required to excite a tissue, whereas chronaxy is the minimum duration that an electric pulse having twice the intensity of the rheobasis must have to generate a stimulation.

Bioreaction is defined as the time that elapses between a trailing edge of an applied pulse and the leading edge of the following pulse, i.e. the biological reaction time available to a preset tissue before the application of the following pulse.

Variation means is furthermore provided arranged to vary the typical parameters of the applied pulses, namely the frequency and/or the width and/or the intensity.

In a first embodiment, the pulses generated by the apparatus according to the invention have a width from 1 to 90  $\mu$ s and a frequency from 0.1 Hz to 1 kHz. Their peak voltage is above 50 V and may vary up to 300 V.

In a second embodiment, the pulses have a width between 1 and 49  $\mu$ s, a frequency from 0.1 Hz to 100 Hz and a peak voltage up to 200 V.

In a third embodiment, the width of the pulses varies from 1 to 40  $\mu$ s, the frequency varies from 0.1 Hz to 100 Hz and peak voltage reaches a maximum of 300 V.

The electro-stimulation apparatus is configured in such a way as to apply a sequence of stimuli comprising a preset succession of sub-sequences. Each sub-sequence is the result of the modulation of frequency, width and intensity according to a protocol that depends on the biochemical effect that is desired to have on the cells and on the tissues.

For example, to obtain a relaxing effect on the muscular fibres, a sequence of sub-threshold pulses is applied which stimulates the muscle with a gradually increasing frequency, until a condition of tetany is reached in which the muscle reaches a spasm situation. Frequency is thereafter sharply

reduced to the value of 1 Hz, so as to create a traumatic event and cause muscular relaxation.

One example of said sequence is shown in Figure 1, and comprises 27 sub-phases according to the indicated parameters.

5 In the first sub-phase, pulse trains are sent to the patient for a time interval having a duration of 20 seconds. In this period, the frequency has a value of one pulse per second (1 Hz), each pulse having a width of 10 microseconds. During the second sub-phase, which lasts 5 seconds, the pulse trains  
10 applied to the patient have a pulse frequency of 1 Hz, and each pulse has a duration of 20 microseconds. The frequency of the pulses of each sub-phase is then gradually increased until the sub-phase 13 is reached, in which the frequency reaches a value of 29 Hz with a pulse width of 40 microseconds. In the  
15 following sub-phase there is a sudden decrease in the frequency of the pulses, which drops by an order of magnitude from 29 Hz to 1 Hz, and in the pulse width, which decreases from 40 microseconds to 10 microseconds. After this sudden decrease, the frequency and the pulse width are increased  
20 again in a gradual manner, until they reach a final value of respectively 39 Hz and 40 microseconds.

Experimental results have shown that the sudden decrease in the pulse frequency applied to the muscle allows the muscle to relax. To reinforce the positive effects of the decrease in  
25 frequency, it is possible to repeat the sequence in Figure 1 several times, in which case the frequency discontinuity occurs a greater number of times.

On the other hand, in order to obtain an effective action on the blood vessels and an anti-inflammatory effect, substances  
30 have to be released such as the growth factors promoting neoangiogenesis and producing cytokines, that are able to produce an anti-inflammatory effect. At the same time, the formation of other cytokines such as  $\text{TNF-}\alpha$ , interleukin-6,



interferone- $\alpha$  and cortisole, that are responsible for the inflammatory state, has to be inhibited.

In order to do this without stimulating the tissue for an excessively long time and with a marginal release of energy,  
5 sequences of pulses are applied to the patient in which the frequency is rapidly increased until the required value is reached. This value varies according to the substance to be released, produced or inhibited.

The inventor thinks that the electrical field applied by the  
10 electro-stimulation apparatus creates a series of vibrations by pulse polarisation and depolarisation of the cells and of the molecules. Such vibrations induce resonance conditions in sub-structures of the cells of the connective tissue, and in particular in the sub-structures of the endothelium cells, of  
15 the muscles, of the dermis and of the epidermis, for example the cell membrane, mitochondria, and/or the immunological molecules or complexes. This causes specific enzymes, cytokines and growth factors to be released into the interstitial spaces and therefore into the circulating blood.

20 Depending on the different model of resonance induced in the cellular sub-structures, a release or transcription of different molecules is obtained. Therefore, by appropriately varying the frequency of the pulses applied, it is possible to reach the typical resonance frequency corresponding to the  
25 type of molecule that one wishes to release or inhibit.

One example of a sequence of pulses to apply in order to obtain an anti-inflammatory effect, operating according to the mechanism above-described, is set out in Figure 2.

If it is rather desired to activate the microcirculatory  
30 system, a sequence of the type shown in Figure 3 can be applied. The variations of the typical parameters of the pulse for this latter sequence are shown in Figure 4.

As can be noted, the sequence shown in Figure 3 comprises an initial sub-sequence that is substantially analogous to the

initial part of the sequence shown in Figure 1 and that aims to obtain a relaxing effect. Subsequently, during sub-phase 13 the frequency is sharply reduced to the value of 1 Hz and subsequently increased up to 11 Hz. After this, the frequency is kept constant for a few seconds in order to cause an effective vaso-action on the blood vessels. Then, from sub-phase 38, the value of the frequency is increased by 10 Hz at each sub-phase, until the value of 41 Hz is reached, around which value it has been experimentally established that the greatest release of VEGF is obtained. Said frequency reasonably seems to be the resonance frequency of VEGF.

In order to obtain an even higher level of VEGF in the blood, the sequence shown in Figure 3 can be repeated several times a day.

By repeating the same sequence several times in succession, a surprising synergic effect was observed, inasmuch as it was seen that the obtained result was greater than the sum of the results that could logically be expected by applying two sequences independently of each other.

This seems to be due to the sudden reduction in the frequency of the pulses applied, i.e. the sharp transition from a relatively high frequency value to the initial value of 1 Hz, which introduces a discontinuity in the applied pulses. This results in a significant energy variation. By repeating the sub-sequence several times, an effect analogous to the so-called "water hammer" occurring in hydraulics takes place, by means of which the stimulation by sub-threshold electric pulses enables weak chemical bonds to be broken and large quantities of the desired molecules to be released or transformed, without inducing a significant transfer of energy to the tissue.

In one embodiment, the variation in the applied frequency is greater than 20 Hz. In another embodiment, the variation in

the applied frequency is greater than 40 Hz. In a further embodiment, such variation may be greater than 60 Hz.

The above-formulated hypothesis was experimentally tested by stimulating a lower limb of 10 diabetic patients, of 10 non-diabetic patients and of 10 healthy subjects whose behaviour was observed for control purposes. The pulses were applied to the peripheral distal part of the leg.

The stimulation sequence applied to all the individuals taking part in the experiment comprised two consecutive sub-sequences aimed to obtaining muscular relaxation, followed by two sub-sequences of activation of the microcirculatory system, in the manner described above. Stimulation was thereafter applied for a period of 10 minutes at a constant frequency of 100 Hz and with a constant pulse width of 40 microseconds.

Blood samples from the systemic circulation were taken of the individuals taking part in the experiment (samples were taken from the brachial vein) 10 and 5 minutes before stimulation, and 0, 1, 2, 3, 4, 5, 7, 10, 20 and 40 minutes after the beginning of stimulation. The results obtained are shown in Figures 5, 6 and 7.

In particular, Figure 5 shows the average VEGF values measured in the blood samples taken from the different patients at the times indicated. The values at -10 and -5 minutes refer to the period preceding stimulation, the values at 0, 1 and 2 minutes were recorded during the sub-sequences of muscular relaxation, the values at 3, 4, 5 and 7 minutes were recorded during the sub-sequences of activation of the microcirculatory system. The values at 10, 20 and 40 minutes were recorded during the final sub-sequence at a constant frequency and width. The recorded VEGF pattern is set out graphically in Figures 6 and 7.

As can be noted, at the end of every sub-sequence a sudden increase in the measured VEGF values occurred. The healthy subjects showed increases in VEGF that were up to 5 times

greater than their base value, whereas in diabetic patients the VEGF value increased by up to 3 times more than the initial value.

It was furthermore noted that if electro-stimulation was not  
5 applied in an appropriate manner, VEGF did not increase. This was shown in the last phase, in which the frequency and the width of the pulses were kept constant and in both diabetic patients and in non-diabetic patients VEGF tended to decrease returning to the base values within 10 minutes.

10 Only when the stimulation frequency was appropriately modified in such a manner as to reach the typical resonance frequency of the cells that produce VEGF, and then suddenly decreased to create a traumatic event, an effective and consistent increase in the growth factor occurred, through a mechanism that in  
15 certain respects is analogous to the one that determines the so-called "water hammer".

The detected increases in VEGF, as shown in Figures 5, 6 and 7, appear to be particularly significant if one considers that they were measured in the blood samples taken from the  
20 brachial veins of the subjects examined, whereas electro-stimulation was carried out in the distal peripheral part of the leg. This means that the VEGF that had been produced in the stimulated zone, rapidly spread throughout the organism, thereby determining a considerable increase in the average  
25 value of VEGF current in the patient's blood at the systemic level.

Therefore the increase in VEGF from the value of 21 pg/ml recorded after 2 minutes of electro-stimulation, to the value of 60 pg/ml measured after 7 minutes of electro-stimulation in  
30 the blood taken from the brachial veins of the diabetic patients is indicative of a much more considerable increase in VEGF in the stimulated zone that is affected by the occlusion of the blood vessels. This results, in the stimulated zone, in a substantial benefit to the patient deriving from the

formation of new blood vessels and from the dilatation of existing ones.

Lastly, it has been proposed to use a sequence like the one shown in Figures 8 and 9 to stimulate small afferent  
5 neurological fibres and their interaction with the motor units. The data shown in Figures 8 and 9 actually constitute a single sequence, which has been set out on two separate sheets for the sake of clarity.

As can be noted, this last sequence is a combination of a  
10 modified sub-sequence of muscular relaxation, followed by a vasoactive sub-sequence. A sub-sequence activating the small nervous fibres is then provided until a pulse frequency of 220 Hz is reached. This produces a gradual increase in prioreception and in peripheral sensitivity in patients  
15 affected by paraplegia, tetraplegia or hemiplegia, secondary lesions to the brain, traumas to the head or to the spine, or apoplectic stroke.

According to an embodiment of the invention, the pulse width can also be varied and in particular it can be increased from  
20 the current value until a preset maximum value is reached. This maximum value can be of about 90-100  $\mu$ s.

The increase in pulse width is equal to a percentage of the current pulse width value, for example 20%, 25%, 33% or 50% of the current value. Experimental tests have shown that the best  
25 results are obtained if percentage increases of 20% of the current pulse width value are chosen.

Between an increase in pulse width and the subsequent increase, a time interval occurs having a duration which can be randomly varied between a minimum value and a maximum  
30 value. In particular, the minimum value of this duration can be of about 15 seconds, whereas the maximum value can be of about 60 seconds.

When the preset maximum pulse width is reached, the pulse width is suddenly decreased to its initial value.

This variation of the pulse width can be repeated several times. It can in particular be applied when the pulse frequency is kept constant, for example when, after applying to the patient the sequences previously disclosed with  
5 reference to the drawings, stimulation is applied for some minutes at a constant frequency.

By varying the pulse width, adaptation phenomena are avoided in the patient, which means that the patient does not get used to the applied pulses, which might decrease the therapy  
10 efficiency.